

aurecon

**Allison Courts** 

Quantative Engineering Evaluation

Functional Location ID: BU 1113 EQ2

Address: 40 Brougham Street

Reference: 233414

Prepared for:

Christchurch City Council

Revision: 1

Date: 27 November 2015

# **Document Control Record**

Document prepared by:

Aurecon New Zealand Limited Level 2, 518 Colombo Street Christchurch 8011 PO Box 1061 Christchurch 8140 New Zealand

Т +64 3 375 0761

+64 3 379 6955

Ε christchurch@aurecongroup.com

aurecongroup.com

A person using Aurecon documents or data accepts the risk of:

- Using the documents or data in electronic form without requesting and checking them for accuracy against the original hard copy version.
  Using the documents or data for any purpose not agreed to in writing by Aurecon.

33414		
Simon Wadey		
erifier Approver		
Howard L. Howard		

Approval						
Author Signature	<b>B</b> -	Approver Signature	- And			
Name	J. Bruins	Name	L. Howard			
Title	Structural Engineer	Title	Senior Structural Engineer			

# **Contents**

Exe	ecutiv	e Sumn	nary – Block A	1				
Exe	ecutiv	e Sumn	nary – Block B	2				
Executive Summary – Garages  1 Introduction								
1	Intro	duction	n	4				
	1.1	Gener	ral	4				
2	4							
	2.1	Buildir	ng Age and Configuration	4				
	2.2	Buildir	ng Structural Systems Vertical and Horizontal	5				
	2.3	Buildir	ng Foundation System and Soil Conditions	5				
	2.4	Availa	able Structural Documentation and Inspection Priorities	5				
	2.5	Availa	able Survey Information	5				
3	Stru	ctural lı	nvestigation	6				
	3.1	Summary of Building Damage						
	3.2	Record of Intrusive Investigation						
	3.3	Dama	ge Discussion	6				
4	Buile	ding Re	eview Summary	6				
	4.1	Buildir	ng Review Statement	6				
	4.2	Critica	al Structural Weaknesses	6				
5	Buile	ding Stı	rength (Refer to Appendix C for background information)	6				
	5.1	Gener	ral	6				
	5.2	Initial	%NBS Assessment	7				
		5.2.1	Parameters used in the seismic assessment	7				
		5.2.2	Lateral load resistance systems in Blocks 'A' and 'B'	7				
		5.2.3	Lateral load resistance systems in Garages	7				
	5.3	Asses	ement Results	7				
6	Conclusions and Recommendations							
Ex	olanat	ory Sta	tement	9				

# **Appendices**

Appendix A Site Map, Photos, Levels survey and Marked-up drawings Appendix B References Appendix C Strength Assessment Explanation Appendix D Legal Background and Framework Appendix E Standard Reporting Spreadsheet

# Executive Summary – Block A

This is a summary of the Quantative Engineering Evaluation for the Allison Courts buildings and is based on the Detailed Engineering Evaluation Procedure document issued by the Engineering Advisory Group on 19 July 2011, visual inspections, available structural documentation and summary calculations as appropriate.

<b>Building Details</b>	Name	Allison Courts – Two Storey Building Block A					
Building Location ID	BU 1113 E	Q2			Multiple Building Site	Y	
Building Address	40 Brough	am Street			No. of residential units	3	
Soil Technical Categor	y TC2	Importance Level		2	Approximate Year Built	1975	
Foot Print (m²)	92	Storeys above gro	und	2	Storeys below ground	0	
Type of Construction		em of Concrete block concrete floor slab.	work wall	s and Timbe	r Framed walls with light weigh	nt roof and	
Quantative L5 Re	port Result	s Summary					
Building Occupied	Y	Y The two storey residential buildings are currently occupied.					
Suitable for Continued Occupancy	Y	Y The two storey residential buildings are suitable for continued use.					
Key Damage Summary	Y	Y Refer to summary of building damage Section 3.1 of the report body.					
Critical Structural Weaknesses (CSW)	N	No critical structural	I weaknesses were identified.				
Levels Survey Results	Y	Survey shows floor	levels are	within MBIE	guidance limits.		
Building %NBS From Analysis	>67%	Based on demand/o	capacity o	alculations.	See Table 2 on Section 5.3		
Quantative L5 Re	port Recom	mendations					
Geotechnical Investigation Required	N	Geotechnical invest site.	igation no	ot required du	ue to lack of observed ground	damage on	
Approval							
Author Signature	4	B-		ver Signature	- And	*	
Name	J. Bruins			Name	L. Howard		
Title	Structural Engin	eer		Title	Senior Structural Engineer		

# Executive Summary – Block B

This is a summary of the Quantative Engineering Evaluation for the Allison Courts buildings and is based on the Detailed Engineering Evaluation Procedure document issued by the Engineering Advisory Group on 19 July 2011, visual inspections, available structural documentation and summary calculations as appropriate.

<b>Building Details</b>	Name	Allison Court	s – Tv	vo Store	y Building – Block I	3	
Building Location ID	BU 1113 E	Q2			Multiple Building Site	Y	
Building Address	40 Brougha	am Street			No. of residential units	6	
Soil Technical Category	TC2	Importance Level		2	Approximate Year Built	1975	
Foot Print (m²)	184	Storeys above gro	und	2	Storeys below ground	0	
Type of Construction		em of Concrete block concrete floor slab.	work wall	s and Timbe	r Framed walls with light weigl	nt roof and	
Qualitative L5 Repor	rt Results	Summary					
Building Occupied	Y	Y The two storey residential building is currently occupied.					
Suitable for Continued Occupancy	Y	The two storey resid	idential building is suitable for continued use.				
Key Damage Summary	Y	Refer to summary o	of building damage Section 3.1 of the report body.				
Critical Structural Weaknesses (CSW)	N	No critical structural	weaknesses were identified.				
Levels Survey Results	Y	Survey shows floor	evels are within MBIE guidance limits.				
Building %NBS From Analysis	>67%	Based on demand/c	apacity c	alculations.	See Table 2 on Section 5.3		
Qualitative L5 Repor	rt Recom	mendations					
Geotechnical Investigation Required	N	Geotechnical investi site.	igation no	ot required d	ue to lack of observed ground	damage on	
Approval							
Author Signature	B		Appr	over Signatu	ire Mal	A	
Name	Joshua Bru	uins		Nan	ne L. Howard		
Title	Structural E	Engineer		Ti	tle Structural Engineer		

# **Executive Summary** – Garages

This is a summary of the Quantative Engineering Evaluation for the Allison Courts buildings and is based on the Detailed Engineering Evaluation Procedure document issued by the Engineering Advisory Group on 19 July 2011, visual inspections, available structural documentation and summary calculations as appropriate.

Building Details	Name	Allison Courts	s – G	arages		
Building Location ID	BU 1113 E	Q2			Multiple Building Site	Y
Building Address	40 Brougha	am Street			No. of residential units	NA
Soil Technical Category	TC2	Importance Level		1	Approximate Year Built	1975
Foot Print (m²)	18	Storeys above grou	ınd	1	Storeys below ground	0
Type of Construction Concrete block work walls with light weight timber truss roof.						
Quantative L5 Repo	rt Results	s Summary				
Building Occupied	Y	The garages are curr	ently us	ed.		
Suitable for Continued Occupancy	Y	Y The garages are suitable for continued use.				
Key Damage Summary	Y	Refer to summary of	building	damage Sed	ction 3.1 of the report body.	
Critical Structural Weaknesses (CSW)	N	No critical structural v	weaknes	ses were ide	ntified.	
Building %NBS From Analysis	100%	Based on demand/ca	apacity c	alculations. S	See Table 2 on Section 5.3	
Quantative L5 Repo	rt Recom	mendations				
Geotechnical Investigation Required	N	Geotechnical Investigon site.	gation no	ot required di	ie to lack of observed ground	damage
Approval						
Author Signature	B-		Appr	over Signatu	re And	9
Name	Joshua Bru	uins		Nan	L. Howard	
Title	Structural I	Engineer		Tit	le Senior Structural Enginee	er

### 1 Introduction

### 1.1 General

On 4 December 2012 Aurecon engineers visited the Allison Courts to undertake a qualitative building damage assessment on behalf of Christchurch City Council. Detailed visual inspections were carried out to assess the damage caused by the earthquakes on 4 September 2010, 22 February 2011, 13 June 2011, 23 December 2011 and related aftershocks.

A qualitative report was issued dated 27 May 2013.

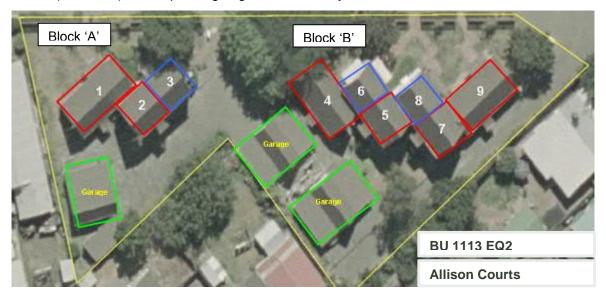
On 18 November 2015 Aurecon engineers re-visited Allison Courts to confirm the findings of the qualitative report in order to provide a quantative building damage assessment on behalf of the Christchurch City Council.

This report outlines the results of our quantative assessment of damage to the Allison Courts and is based on the Detailed Engineering Evaluation Procedure document issued by the Engineering Advisory Group on 19 July 2011, visual inspections, available structural documentation and summary calculations as appropriate.

# 2 Description of the Building

### 2.1 Building Age and Configuration

The Allison Courts are residential properties consisting of two building blocks: Block 'A' (units 1 - 3), and Block 'B' (units 4 - 9) and separate garage modules. They were all built in 1975.



Both blocks are of similar construction type; a mix of partially filled and reinforced concrete masonry blockwork and timber framed walls. The roof is of light weight corrugated metal sheeting supported by timber trusses. On the two storey sections the first level is a concrete precast slab with insitu topping. The foundations are shown on the drawings as slab on grade concrete floor with shallow concrete perimeter wall footings. Block 'A' has an approximate floor area of 92m² and Block 'B' has an approximate floor area of 184m².

The garages are made of partially filled and reinforced concrete masonry blockwork with a corrugated metal roof on timber trusses, a slab on grade concrete floor with shallow concrete perimeter wall footings. Each garage has an approximate floor area of the 18m².

Blocks 'A' and 'B' are considered to be importance level 2 structures in accordance with AS/NZS 1170 Part 0:2002, and garages are considered importance level 1 structures.

### 2.2 Building Structural Systems Vertical and Horizontal

For the one-storey sections of Block A and B the load from the timber framed roof is transferred to timber framed walls and to the foundations. The lateral load resistance is provided by the gypsum lining on the timber framed walls in both directions. For the two-storey sections, the lateral load resistance, for both directions, is provided on the first floor by the gypsum lining on the timber framed walls and by the concrete masonry blockwork walls at the ground floor. The blockwalls have a comparatively greater stiffness than the timber framed walls and attract lateral load through the diaphraghm provided by the concrete suspended slab. It follows that the one storey sections of both Block A and B do not attract load from the two storey portion of the building.

For the separate garage buildings, the concrete masonry blockwork walls resist both vertical and lateral loads which come from the roof structure.

### 2.3 Building Foundation System and Soil Conditions

The Allison Courts buildings are used for residential purposes on Technical Category 2 (TC2) land. According to CERA, TC2 land is considered to "incur minor to moderate land damage from liquefaction". No land damage was observed during the visual inspection.

The foundations for the three types of buildings are shown on the drawings as shallow concrete perimeter wall footings with concrete slab-on-grade.

### 2.4 Available Structural Documentation and Inspection Priorities

Partial architectural and structural drawings for Allison Courts buildings including the separate garage buildings were available. These drawings were dated December 1975 and prepared by the Christchurch City Council.

The inspection priorities included inspection of exterior walls, roof's timber structure, structural slab of first floor, slabs on grade, brickwork, interior linings and architectural elements in order to identify potential structural weaknesses.

### 2.5 Available Survey Information

A floor level survey was undertaken to establish the level of unevenness across the floors. The results of the survey are presented in Appendix A. All of the levels were taken on top of the existing floor coverings which may have introduced some margin of error.

The Ministry of Business Innovation and Employment (MBIE) published the "Technical Guidance on Repairing and Rebuilding Houses Affected by the Canterbury Earthquake Sequence" in December 2012, which recommends some form of re-levelling or rebuilding of the floor if:

- 1. the slope is greater than 0.5% for any two points more than 2m apart;
- 2. the variation in level over the floor plan is greater than 50mm, or;
- 3. there is significant cracking of the floor.

The floor levels for the Allison Courts are considered to be acceptable and there is no evidence of strain induced damage to the superstructure as a result of differential foundation settlement.

Refer Appendix A for level surveys of the buildings.

### 3 Structural Investigation

### 3.1 Summary of Building Damage

The extent of the damages observed was limited and the nature of the damages observed minor. Given the above, the current condition of the buildings appears to be similar to the likely as-built condition. A brief summary of the observations that were made during Aurecon's visit on 4 December 2012 are as follows:-

- A floor level survey using the zip level was carried out on the slab-on-grade and at the first floor when applicable. It has shown that the levels do not exceed MBIE guidelines limits – refer Appendix A for details.
- Minor damage to internal wall linings, mainly around doors and windows refer pictures 1 and 2 – Appendix A).
- The roof structure was inspected locally by accessing a trap tile and appeared to be in good condition in the area inspected (picture 4).

No additional damage was observed during Aurecons visit on 18 November 2015.

### 3.2 Record of Intrusive Investigation

No intrusive investigations were carried out for the Allison Courts as it was deemed unnecessary to do so since partial architectural and structural drawings were available with sufficient detail to get the information needed to perform the capacity/demand calculations.

### 3.3 Damage Discussion

The visual inspections indicate the building were good condition and performed well during the recent series of Canterbury Earthquakes. There was no evidence of damage to the blockwalls which, as the main lateral load resisting elements, indicate the design loadpath through the building to the foundations has not been compromised. Given the lack of observed differential settlement it is likely the capacity of the foundation was not exceeded during the series of Canterbury earthquakes.

# 4 Building Review Summary

### 4.1 Building Review Statement

There was limited damage to the buildings and therefore, as noted above an intrusive investigation was neither warranted nor undertaken for Allison Courts.

### 4.2 Critical Structural Weaknesses

No specific critical structural weaknesses were identified as part of the building assessment.

# 5 Building Strength (Refer to Appendix C for background information)

#### 5.1 General

The Allison Courts Blocks 'A' and 'B' have a timber truss roofs with timber framed walls or partially reinforced concrete masonry blockwork to resist the lateral loads induced as wind and earthquake loads. The separate garages buildings are also partially reinforced concrete masonry blockwork constructions. With effective bracing provided through walls and good detailing, all three buildings have performed well

in the Canterbury earthquake sequence as evidenced by the limited damage described in Section 3 above.

#### **Initial %NBS Assessment** 5.2

#### 5.2.1 Parameters used in the seismic assessment

Table 1: Parameters used in the Seismic Assessment

Seismic Parameter	Quantity	Comment/Reference
Site Soil Class	D	NZS 1170.5:2004, Clause 3.1.3, Deep or Soft Soil
Site Hazard Factor, Z	0.30	DBH Info Sheet on Seismicity Changes (Effective 19 May 2011)
Return period Factor, R <sub>u</sub>	1.00	NZS 1170.5:2004, Table 3.5, Importance Level 2 Structure with a Design Life of 50 years
Ductility Factor for timber walls, $\mu$	2.0	Timber walls system. (AS 1170.4 – 2007 Table 6.5A)
Ductility Factor for blockwork walls, μ	2.0	Unreinforced Masonry Walls ( <u>Assessment and Improvement of Unreinforced Masonry Buildings for Earthquake Resistance</u> ; Clause 4.3.2.4).

#### 5.2.2 Lateral load resistance systems in Blocks 'A' and 'B'

The two building blocks in Allison Courts have the same lateral load resisting system. Both buildings have one-storey and two-storey sections and rely on the walls for bracing. The one storey sections rely on the gib lined timber framed walls for bracing. The two storey sections have internal timber walls but given the rigid diaphraghm and the comparatively greater stiffness of the blockwork walls the majority of the bracing function is performed by the blockwork walls. Consequently the bracing demand on the timber framed walls is reduced.

A detailed bracing check was carried out in accordance with NZS3604 to determine the buildings bracing demand. As discussed the one storey portion of the blocks do not attract loads from the two storey portion of the walls because they are not stiff enough compared to the firewalls and the diaphraghm in the one storey section is considered flexible and therefore has limited capacity to distribute loads. The initial qualitative assessment took a more conservative approach which attributed more load to the timber walls which resulted in some elements with a %NBS rating below 67% despite the observed good performance of the structure. Following the more refined consideration of loadpaths carried out as part of the quantative assessment the %NBS ratings were above 67% for all elements.

#### 5.2.3 Lateral load resistance systems in Garages

In the garages, the lateral loads are distributed to the concrete masonry blockwork walls which were found to be partially filled and reinforced providing effective loadpaths to the foundation

#### 5.3 **Assesment Results**

The building strength assessment was carried out using detailed demand and capacity analysis as described above. The following table presents the result form this assessment:

Table 2: Summary of results from Seismic Assessment

Blocks	Direction	%NBS	Comments
Δ	Х	>67%	Limited by Timber Framed Walls located on Gridline 3; between A and C
^	A Y		Limited by Timber Framed Walls located on Gridline C; between 2 and 3
В	Х	>67%	Limited by Timber Framed Walls located on Gridline 8, between A and B
В	Υ	>67%	Limited by Timber Framed Walls located on Gridline E, between 1 and 3
Garages	Х	100%	Given by the Concrete blockwork walls
Caragos	Υ	100%	Given by the Concrete blockwork walls

### 6 Conclusions and Recommendations

Given the good performance of the Allison Courts buildings and separate garages in the Canterbury earthquake sequence and the fact the differential floor levels are considered to be within acceptable limits, a geotechnical investigation is currently not considered necessary.

Additionally, the buildings have suffered no loss of functionality and in our opinion the Allison Courts buildings and garages are considered suitable for continued occupation on the following basis:

- As the general strength for Block 'A' and Block 'B" is above 67%NBS, it is not deemed to be earthquake prone.
- There have been no critical structural weaknesses or collapse risks identified.
- There is minimal damage to the buildings.

We recommend any damaged linings be repaired.

In our opinion no repair works are required for the garages.

### **Explanatory Statement**

The inspections of the building discussed in this report have been undertaken to assess structural earthquake damage. No analysis has been undertaken to assess the strength of the building or to determine whether or not it complies with the relevant building codes, except to the extent that Aurecon expressly indicates otherwise in the report. Aurecon has not made any assessment of structural stability or building safety in connection with future aftershocks or earthquakes – which have the potential to damage the building and to jeopardise the safety of those either inside or adjacent to the building, except to the extent that Aurecon expressly indicates otherwise in the report.

This report is necessarily limited by the restricted ability to carry out inspections due to potential structural instabilities/safety considerations, and the time available to carry out such inspections. The report does not address defects that are not reasonably discoverable on visual inspection, including defects in inaccessible places and latent defects. Where site inspections were made, they were restricted to external inspections and, where practicable, limited internal visual inspections.

To carry out the structural review, existing building drawings were obtained (where available) from the Christchurch City Council records. We have assumed that the building has been constructed in accordance with the drawings.

While this report may assist the client in assessing whether the building should be repaired, strengthened, or replaced that decision is the sole responsibility of the client.

This review has been prepared by Aurecon at the request of its client and is exclusively for the client's use. It is not possible to make a proper assessment of this review without a clear understanding of the terms of engagement under which it has been prepared, including the scope of the instructions and directions given to and the assumptions made by Aurecon. The report will not address issues which would need to be considered for another party if that party's particular circumstances, requirements and experience were known and, further, may make assumptions about matters of which a third party is not aware. No responsibility or liability to any third party is accepted for any loss or damage whatsoever arising out of the use of or reliance on this report by any third party.

Without limiting any of the above, Aurecon's liability, whether under the law of contract, tort, statute, equity or otherwise, is limited as set out in the terms of the engagement with the client.

# Appendices



# Appendix A

Site Map, Photos, Levels surveys and Marked-up drawings for location of structural elements.

4 December 2012 – Allison Courts Site Photographs



Site Map



Typical exterior façade of Residential units at Allison Courts

Ref	Description	Photograph
1.	Typical minor damage cracking to interior wall lining around windows.	
2.	Typical minor damage cracking to interior wall lining around windows.	
3.	Typical garage at Allison Courts.	
4.	Locally inspected roof structure	



PRELIMINARY NOT FOR CONSTRUCTION

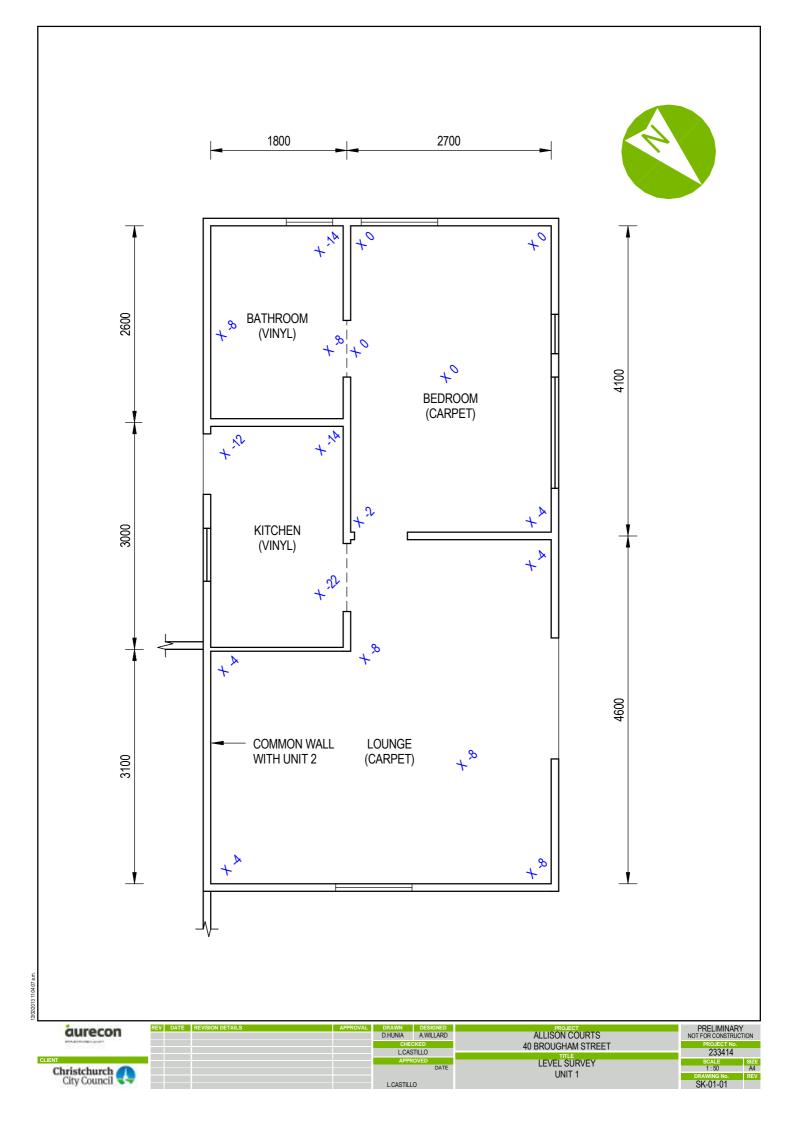
233414

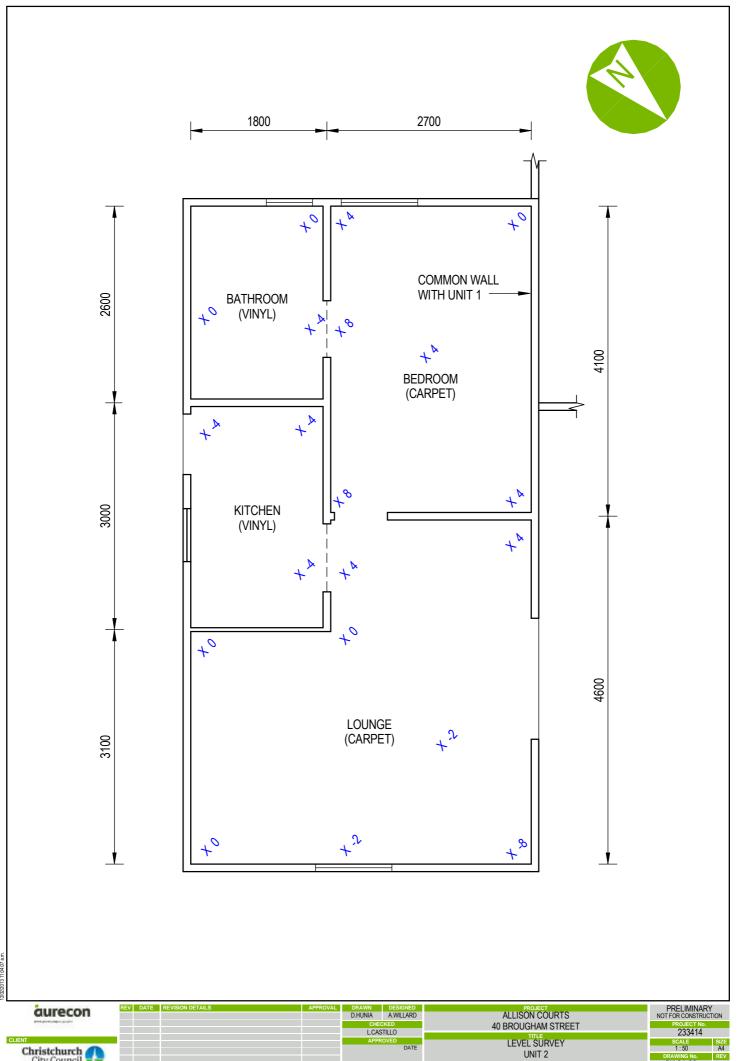
DRAWING No. REV





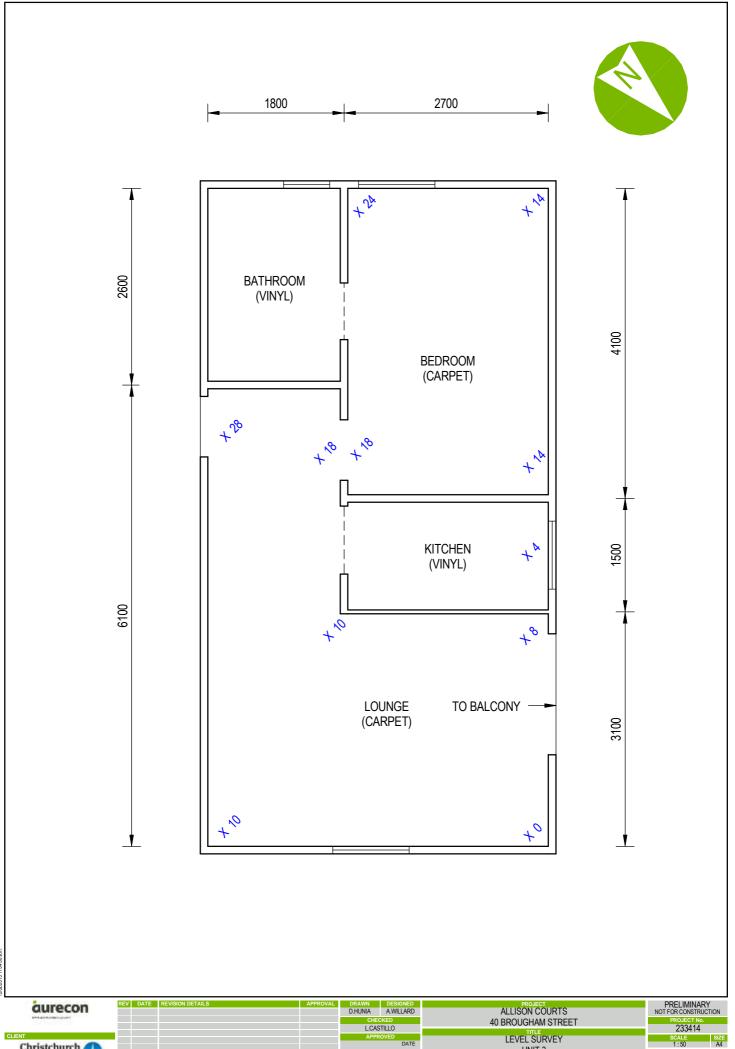
REV	DATE	REVISION DETAILS	APPROVAL	DRAWN	DESIGNED	PROJECT
				D.HUNIA	A.WILLARD	ALLISON COURTS
				CHE		40 BROUGHAM STREET
				L.CASTILLO		TITLE
				APPROVED DATE		SITE PLAN
					DATE	
				L.CASTILL	0	





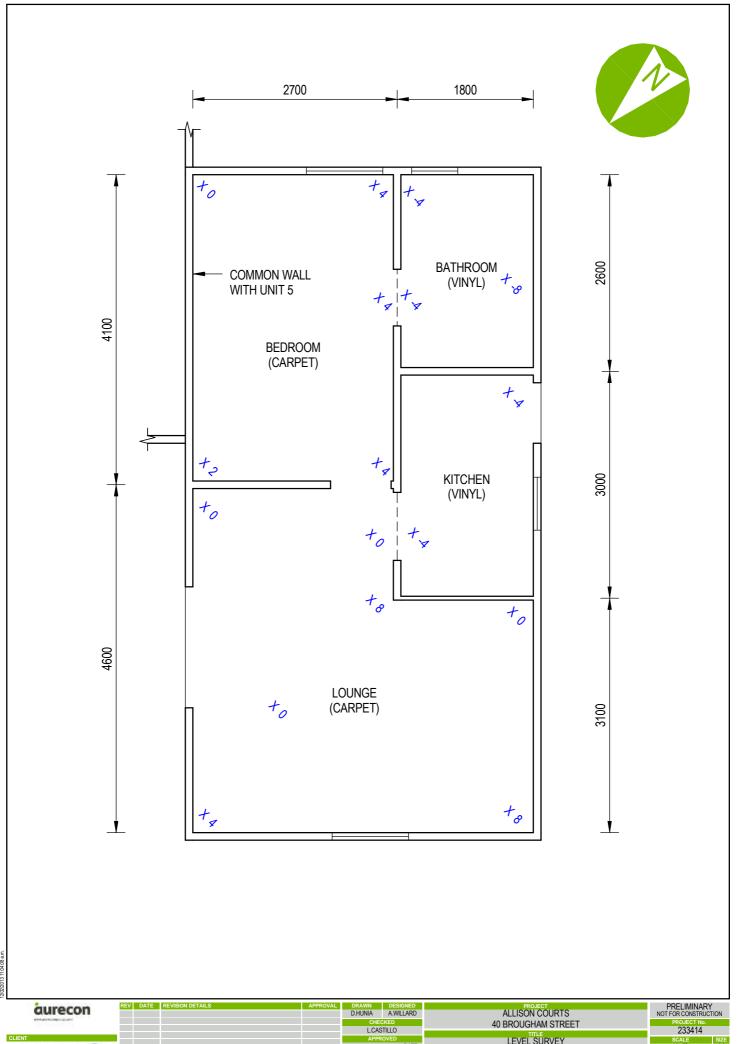
L.CASTILLO



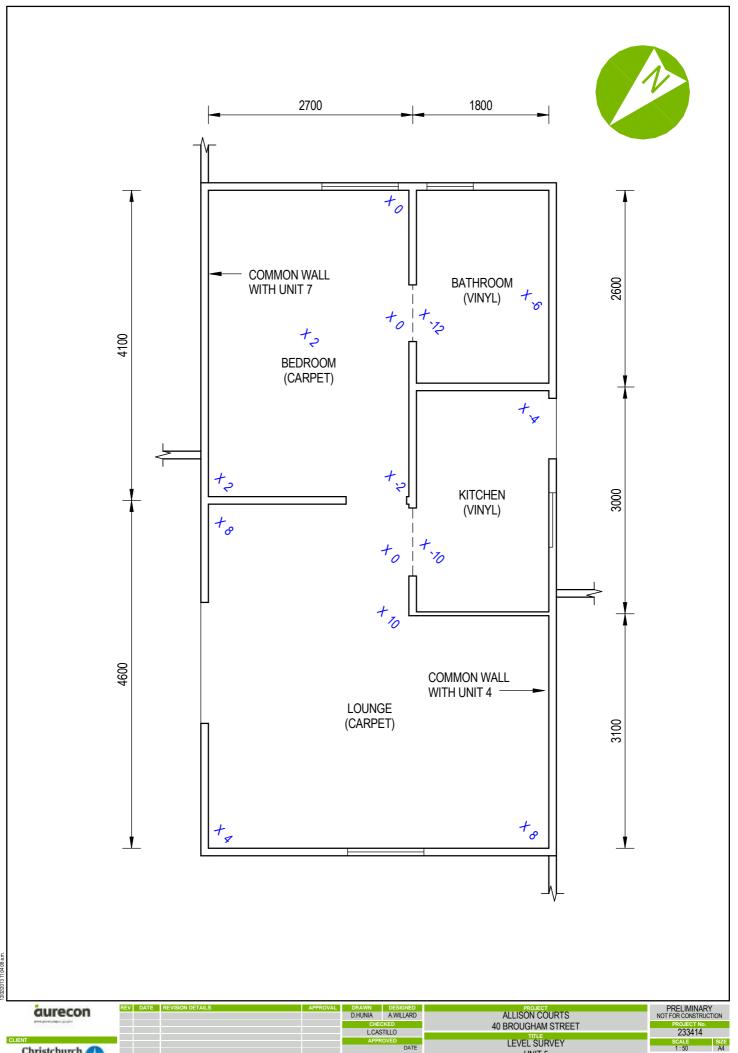


L.CASTILLO

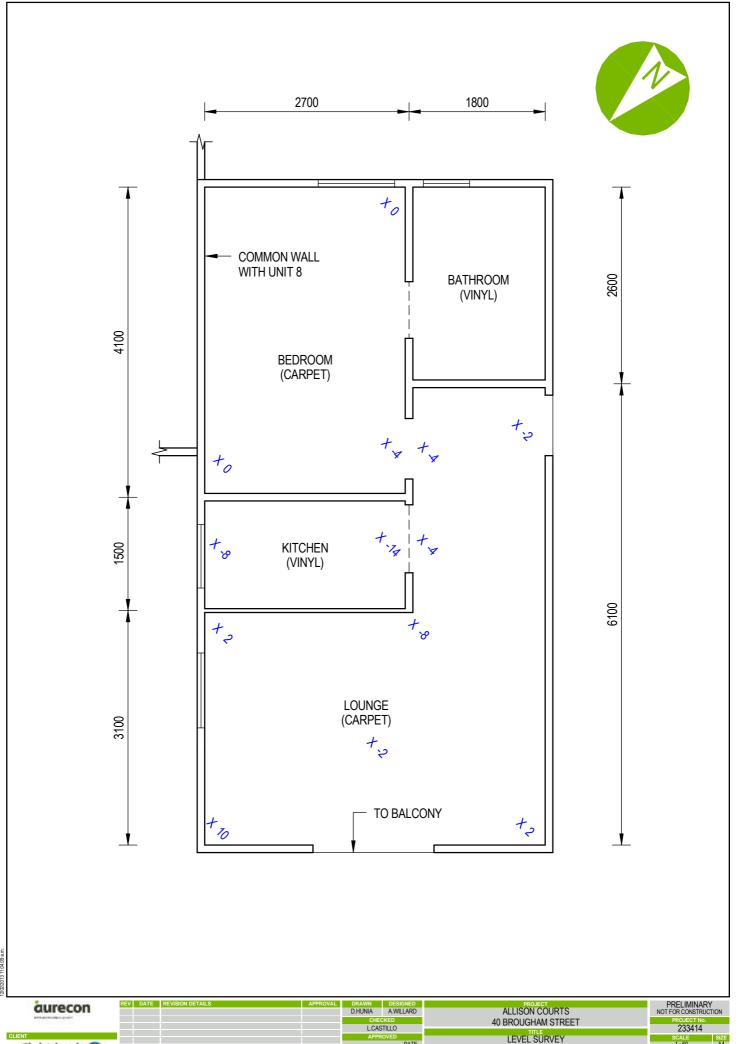
LEVEL SURVEY UNIT 3



LEVEL SURVEY UNIT 4 Christchurch City Council SK-01-04 L.CASTILLO



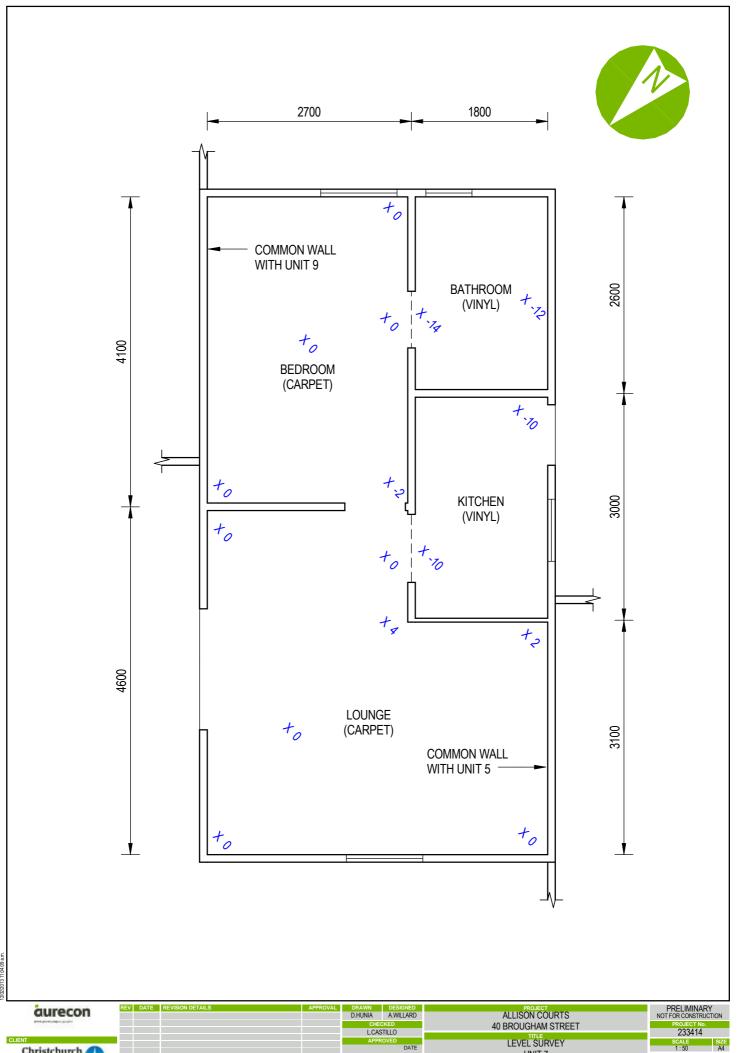
LEVEL SURVEY UNIT 5 Christchurch City Council L.CASTILLO



L.CASTILLO

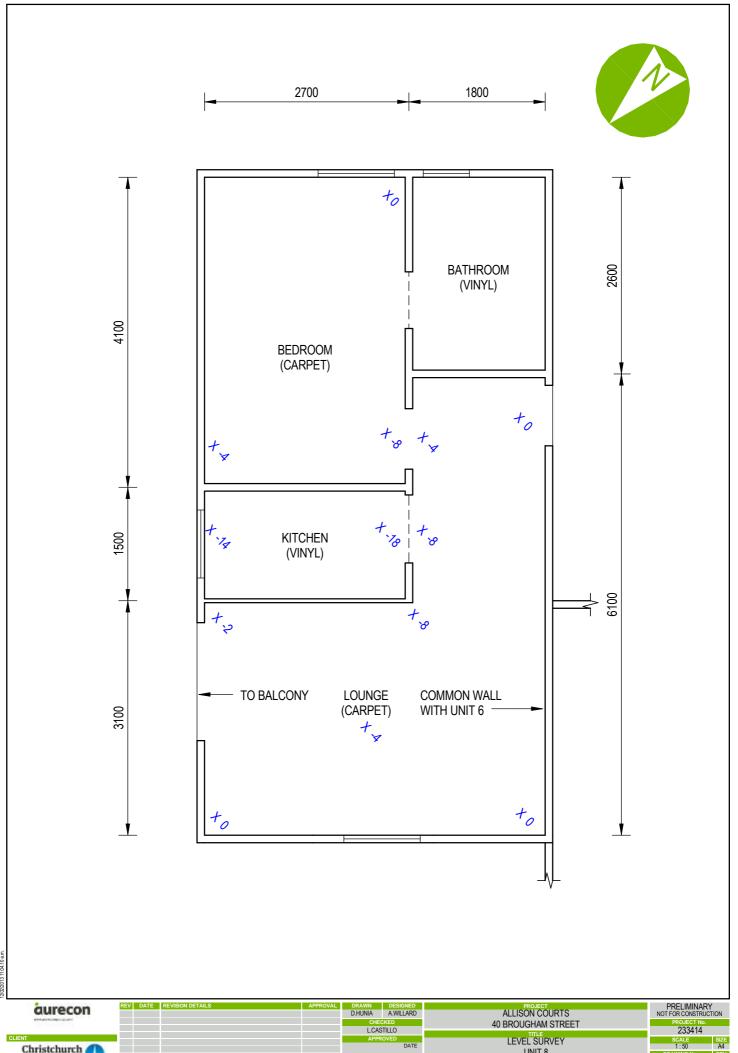
LEVEL SURVEY UNIT 6

SCALE SIZE 1:50 A4 SK-01-06



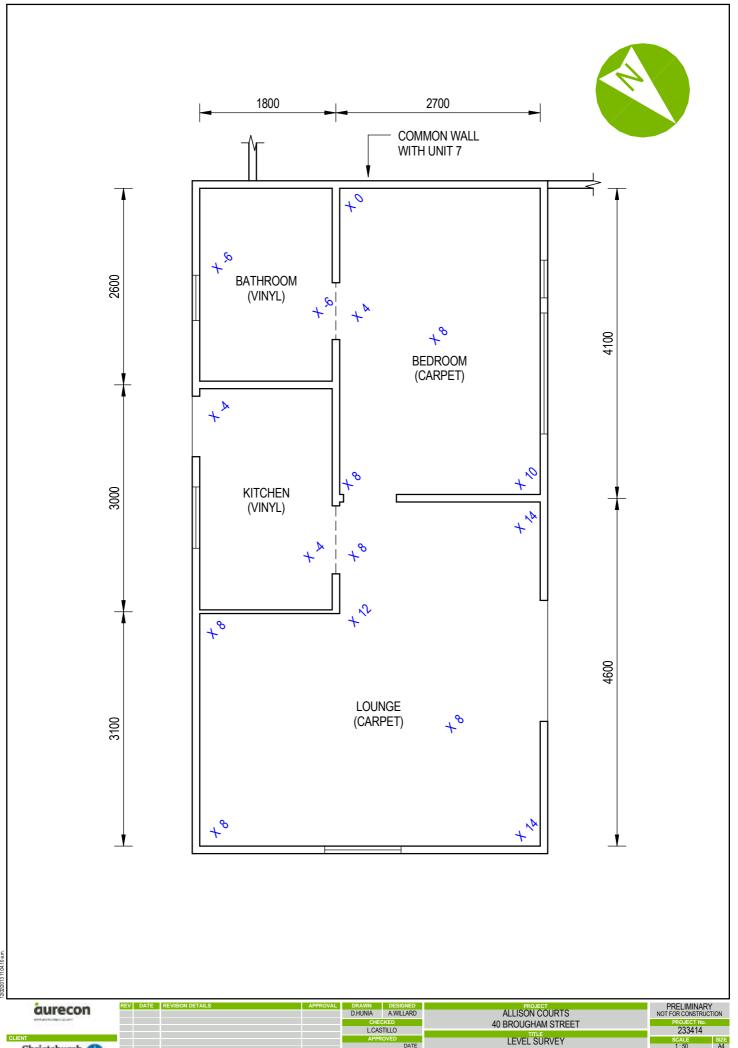
L.CASTILLO

LEVEL SURVEY
UNIT 7

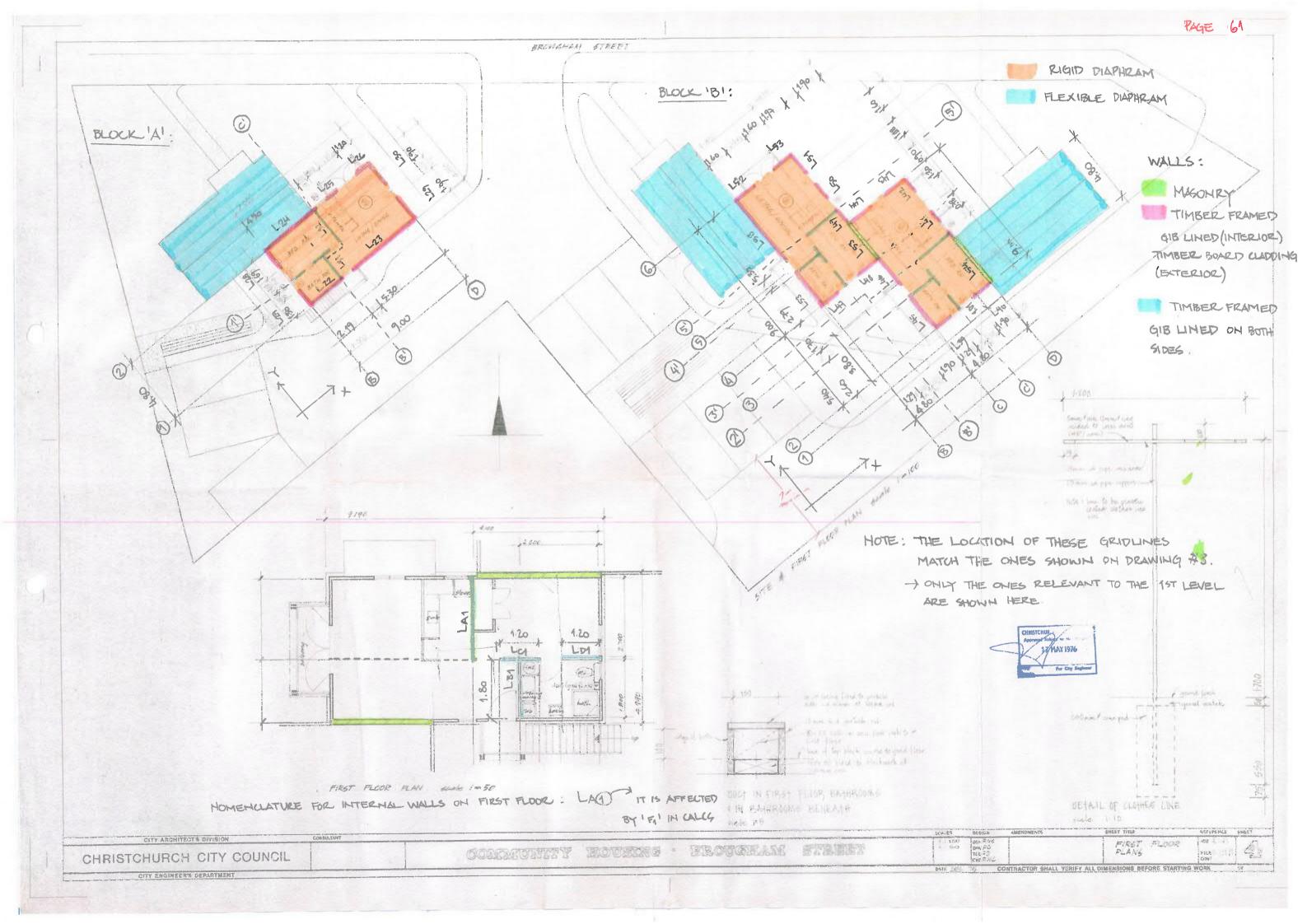


L.CASTILLO

LEVEL SURVEY UNIT 8



LEVEL SURVEY UNIT 9 Christchurch City Council L.CASTILLO



# Appendix B

### References

- 1. Ministry of Building Environment and Innovation (MBIE), "Technical Guidance on Repairing and Rebuilding Houses Affected by the Canterbury Earthquake Sequence", Revision 3, December 2012
- 2. New Zealand Society for Earthquake Engineering (NZSEE), "Assessment and Improvement of the Structural Performance of Buildings in Earthquakes", April 2012
- 3. Standards New Zealand, "AS/NZS 1170 Part 0, Structural Design Actions: General Principles", 2002
- 4. Standards New Zealand, "AS/NZS 1170 Part 1, Structural Design Actions: Permanent, imposed and other actions", 2002
- 5. Standards New Zealand, "NZS 1170 Part 5, Structural Design Actions: Earthquake Actions New Zealand", 2004

# Appendix C

### Strength Assessment Explanation

### New building standard (NBS)

New building standard (NBS) is the term used with reference to the earthquake standard that would apply to a new building of similar type and use if the building was designed to meet the latest design Codes of Practice. If the strength of a building is less than this level, then its strength is expressed as a percentage of NBS.

### Earthquake Prone Buildings

A building can be considered to be earthquake prone if its strength is less than one third of the strength to which an equivalent new building would be designed, that is, less than 33%NBS (as defined by the New Zealand Building Act). If the building strength exceeds 33%NBS but is less than 67%NBS the building is considered at risk.

### Christchurch City Council Earthquake Prone Building Policy 2010

The Christchurch City Council (CCC) already had in place an Earthquake Prone Building Policy (EPB Policy) requiring all earthquake-prone buildings to be strengthened within a timeframe varying from 15 to 30 years. The level to which the buildings were required to be strengthened was 33%NBS.

As a result of the 4 September 2010 Canterbury earthquake the CCC raised the level that a building was required to be strengthened to from 33% to 67% NBS but qualified this as a target level and noted that the actual strengthening level for each building will be determined in conjunction with the owners on a building-by-building basis. Factors that will be taken into account by the Council in determining the strengthening level include the cost of strengthening, the use to which the building is put, the level of danger posed by the building, and the extent of damage and repair involved.

Irrespective of strengthening level, the threshold level that triggers a requirement to strengthen is 33%NBS.

As part of any building consent application fire and disabled access provisions will need to be assessed.

### **Christchurch Seismicity**

The level of seismicity within the current New Zealand loading code (AS/NZS 1170) is related to the seismic zone factor. The zone factor varies depending on the location of the building within NZ. Prior to the 22<sup>nd</sup> February 2011 earthquake the zone factor for Christchurch was 0.22. Following the earthquake the seismic zone factor (level of seismicity) in the Christchurch and surrounding areas has been increased to 0.3. This is a 36% increase.

For this assessment, the building's earthquake resistance is compared with the current New Zealand Building Code requirements for a new building constructed on the site. This is expressed as a percentage of new building standard (%NBS). The new building standard load requirements have been determined in accordance with the current earthquake loading standard (NZS 1170.5:2004 Structural design actions - Earthquake actions - New Zealand).

The likely capacity of this building has been derived in accordance with the New Zealand Society for Earthquake Engineering (NZSEE) guidelines 'Assessment and Improvement of the Structural Performance of Buildings in Earthquakes' (AISPBE), 2006. These guidelines provide an Initial Evaluation Procedure that assesses a buildings capacity based on a comparison of loading codes from when the building was designed and currently.

It is a quick high-level procedure that can be used when undertaking a Qualitative analysis of a building. The guidelines also provide guidance on calculating a modified Ultimate Limit State capacity of the building which is much more accurate and can be used when undertaking a Quantitative analysis.

The New Zealand Society for Earthquake Engineering has proposed a way for classifying earthquake risk for existing buildings in terms of %NBS and this is shown in Figure C1 below.

Description	Grade	Risk	%NBS	Existing Building Structural Performance		Improvement of St	tructural Performance
					┌┢	Legal Requirement	NZSEE Recommendation
Low Risk Building	A or B	Low	Above 67	Acceptable (improvement may be desirable)		The Building Act sets no required level of structural improvement	100%NBS desirable. Improvement should achieve at least 67%NBS
Moderate Risk Building	B or C	Moderate	34 to 66	Acceptable legally. Improvement recommended		(unless change in use) This is for each TA to decide, Improvement is not limited to 34%NBS.	Not recommended. Acceptable only in exceptional circumstances
High Risk Building	D or E	High	33 or lower	Unacceptable (Improvement	L.	Unacceptable	Unacceptable

Figure C1: NZSEE Risk Classifications Extracted from table 2.2 of the NZSEE 2006 AISPBE Guidelines

Table C1 below compares the percentage NBS to the relative risk of the building failing in a seismic event with a 10% probability of exceedance in 50 years (i.e. 0.2% in the next year). It is noted that the current seismic risk in Christchurch results in a 6% probability of exceedance in the next year.

Table C1: Relative Risk of Building Failure In A

Percentage of New Building Standard (%NBS)	Relative Risk (Approximate)
>100	<1 time
80-100	1-2 times
67-80	2-5 times
33-67	5-10 times
20-33	10-25 times
<20	>25 times

# Appendix D

# Background and Legal Framework

### **Background**

Aurecon has been engaged by the Christchurch City Council (CCC) to undertake a detailed engineering evaluation of the building

This report is a Quantative Assessment of the building structure, and is based on the Detailed Engineering Evaluation Procedure document (draft) issued by the Structural Advisory Group on 19 July 2011.

A quantative assessment involves inspection of the building and analytical calculation of the buildings strength and may require non-destructive or destructive material testing, geotechnical testing and intrusive investigation.

The purpose of the assessment is to determine the likely building performance and damage patterns, to identify any potential critical structural weaknesses or collapse hazards, and to make an assessment of the likely building strength in terms of percentage of new building standard (%NBS).

### Compliance

This section contains a brief summary of the requirements of the various statutes and authorities that control activities in relation to buildings in Christchurch at present.

### Canterbury Earthquake Recovery Authority (CERA)

CERA was established on 28 March 2011 to take control of the recovery of Christchurch using powers established by the Canterbury Earthquake Recovery Act enacted on 18 April 2011. This act gives the Chief Executive Officer of CERA wide powers in relation to building safety, demolition and repair. Two relevant sections are:

### Section 38 - Works

This section outlines a process in which the chief executive can give notice that a building is to be demolished and if the owner does not carry out the demolition, the chief executive can commission the demolition and recover the costs from the owner or by placing a charge on the owners' land.

#### Section 51 - Requiring Structural Survey

This section enables the chief executive to require a building owner, insurer or mortgagee carry out a full structural survey before the building is re-occupied.

We understand that CERA will require a detailed engineering evaluation to be carried out for all buildings (other than those exempt from the Earthquake Prone Building definition in the Building Act). It is anticipated that CERA will adopt the Detailed Engineering Evaluation Procedure document (draft) issued by the Structural Advisory Group on 19 July 2011. This document sets out a methodology for both qualitative and quantitative assessments.

The qualitative assessment is a desk-top and site inspection assessment. It is based on a thorough visual inspection of the building coupled with a review of available documentation such as drawings and specifications. The quantitative assessment involves analytical calculation of the buildings strength and may require non-destructive or destructive material testing, geotechnical testing and intrusive investigation.

It is anticipated that factors determining the extent of evaluation and strengthening level required will include:

The importance level and occupancy of the building

- The placard status and amount of damage
- The age and structural type of the building
- Consideration of any critical structural weaknesses
- The extent of any earthquake damage

### **Building Act**

Several sections of the Building Act are relevant when considering structural requirements:

#### Section 112 - Alterations

This section requires that an existing building complies with the relevant sections of the Building Code to at least the extent that it did prior to any alteration. This effectively means that a building cannot be weakened as a result of an alteration (including partial demolition).

#### Section 115 - Change of Use

This section requires that the territorial authority (in this case Christchurch City Council (CCC)) be satisfied that the building with a new use complies with the relevant sections of the Building Code 'as near as is reasonably practicable'. Regarding seismic capacity 'as near as reasonably practicable' has previously been interpreted by CCC as achieving a minimum of 67%NBS however where practical achieving 100%NBS is desirable. The New Zealand Society for Earthquake Engineering (NZSEE) recommend a minimum of 67%NBS.

### Section 121 - Dangerous Buildings

The definition of dangerous building in the Act was extended by the Canterbury Earthquake (Building Act) Order 2010, and it now defines a building as dangerous if:

- in the ordinary course of events (excluding the occurrence of an earthquake), the building is likely to cause injury or death or damage to other property; or
- in the event of fire, injury or death to any persons in the building or on other property is likely because of fire hazard or the occupancy of the building; or
- there is a risk that the building could collapse or otherwise cause injury or death as a result of earthquake shaking that is less than a 'moderate earthquake' (refer to Section 122 below); or
- there is a risk that that other property could collapse or otherwise cause injury or death; or
- a territorial authority has not been able to undertake an inspection to determine whether the building is dangerous.

#### Section 122 - Earthquake Prone Buildings

This section defines a building as earthquake prone if its ultimate capacity would be exceeded in a 'moderate earthquake' and it would be likely to collapse causing injury or death, or damage to other property. A moderate earthquake is defined by the building regulations as one that would generate ground shaking 33% of the shaking used to design an equivalent new building.

#### Section 124 - Powers of Territorial Authorities

This section gives the territorial authority the power to require strengthening work within specified timeframes or to close and prevent occupancy to any building defined as dangerous or earthquake prone.

#### Section 131 – Earthquake Prone Building Policy

This section requires the territorial authority to adopt a specific policy for earthquake prone, dangerous and insanitary buildings.

### Christchurch City Council Policy

Christchurch City Council adopted their Earthquake Prone, Dangerous and Insanitary Building Policy in 2006. This policy was amended immediately following the Darfield Earthquake of the 4th September 2010.

The 2010 amendment includes the following:

- A process for identifying, categorising and prioritising Earthquake Prone Buildings, commencing on 1 July 2012;
- A strengthening target level of 67% of a new building for buildings that are Earthquake Prone;
- A timeframe of 15-30 years for Earthquake Prone Buildings to be strengthened; and,
- Repair works for buildings damaged by earthquakes will be required to comply with the above.

The council has stated their willingness to consider retrofit proposals on a case by case basis, considering the economic impact of such a retrofit.

We anticipate that any building with a capacity of less than 33%NBS (including consideration of critical structural weaknesses) will need to be strengthened to a target of 67%NBS of new building standard as recommended by the Policy.

If strengthening works are undertaken, a building consent will be required. A requirement of the consent will require upgrade of the building to comply 'as near as is reasonably practicable' with:

- The accessibility requirements of the Building Code.
- The fire requirements of the Building Code. This is likely to require a fire report to be submitted with the building consent application.

### **Building Code**

The building code outlines performance standards for buildings and the Building Act requires that all new buildings comply with this code. Compliance Documents published by The Department of Building and Housing can be used to demonstrate compliance with the Building Code.

After the February Earthquake, on 19 May 2011, Compliance Document B1: Structure was amended to include increased seismic design requirements for Canterbury as follows:

- Hazard Factor increased from 0.22 to 0.3 (36% increase in the basic seismic design load)
- Serviceability Return Period Factor increased from 0.25 to 0.33 (80% increase in the serviceability design loads when combined with the Hazard Factor increase)

The increase in the above factors has resulted in a reduction in the level of compliance of an existing building relative to a new building despite the capacity of the existing building not changing.

# Appendix E

# Standard Reporting Spread Sheet

- ✓ Block 'A'
- ✓ Block 'B'
- √ Garages

Note:1 for specifically design public buildings, to the code of the day: pre-1965 = 1.25; 1965-1976, Zone A =1.33; 1965-1976, Zone B = 1.2; all else 1.0

		Note 3: for buildings designed prior to 1935 use 0.	etween 1976-1984, use 8, except in Wellington (		
		Final (%NBS)nom:	along 0%		across 0%
				<u>*</u>	
2.2 Near Fault Scaling Factor		Near Fault scaling factor	or, from NZS1170.5, cl 3 along	.1.6:	across
	Near Fault s	scaling factor (1/N(T,D), Factor A:	#DIV/0!		#DIV/0!
2.3 Hazard Scaling Factor		Hazard factor Z for sit	e from AS1170.5, Table	3.3:	0.30
		Haz	Z <sub>1992</sub> , from NZS4203:1 ard scaling factor, <b>Facto</b>		0.8 .3333333333
		· Ida	ard obtaining rabitor, i abit	2.	.00000000
2.4 Return Period Scaling Factor			nportance level (from abo		2
		Return Period Scaling fact	or from Table 3.1, Facto	or C:	0.80
2.5 Ductility Scaling Factor	Assessed du	uctility (less than max in Table 3.2)	along		across
	Ductility scaling factor: =1 from 1976 onwards; of	or =kµ, if pre-1976, fromTable 3.3:			
		Ductiity Scaling Factor, Factor D:	0.00		0.00
2.6 Structural Performance Scaling Fa	actor:	Sp:			
	Structural Perfo	ormance Scaling Factor Factor E:	#DIV/0!		#DIV/0!
.7 Baseline %NBS, (NBS%) <sub>b</sub> = (%NBS	)nom X A X B X C X D X E	%NBS <sub>b</sub> :	#DIV/0!		#DIV/0!
Global Critical Structural Weaknesses: (	refer to NZSEE IEP Table 3.4)				
3.1. Plan Irregularity, factor A:	nsignificant 1				
3.2. Vertical irregularity, Factor B:	significant 0.7				
3.3. Short columns, Factor C:	nsignificant 1	Table for selection of D1	Severe	Significant	Insignificant
3.4. Pounding potential	Pounding effect D1, from Table to right 1.0	Separation Alignment of floors within 20% of H	0 <sep<.005h 0.7</sep<.005h 	.005 <sep<.01h< td=""><td>Sep&gt;.01</td></sep<.01h<>	Sep>.01
	nt Difference effect D2, from Table to right 1.0	Alignment of floors not within 20% of H	0.4	0.7	0.8
	Therefore, Factor D: 1	Table for Selection of D2	Severe	Significant	Insignificant
		C	0 <sep<.005h< td=""><td>.005<sep<.01h< td=""><td>Sep&gt;.01</td></sep<.01h<></td></sep<.005h<>	.005 <sep<.01h< td=""><td>Sep&gt;.01</td></sep<.01h<>	Sep>.01
.5. Site Characteristics	nsignificant 1	Separation			
3.5. Site Characteristics	nsignificant 1	Height difference > 4 storeys	0.4	0.7	1
3.5. Site Characteristics	nsignificant 1				
3.5. Site Characteristics	nsignificant 1	Height difference > 4 storeys Height difference 2 to 4 storeys	0.4 0.7	0.7 0.9	1

Note:1 for specifically design public buildings, to the code of the day: pre-1965 = 1.25; 1965-1976, Zone A =1.33; 1965-1976, Zone B = 1.2; all else 1.0

		Note 3: for buildings designed prior to 1935 use 0			
			along		across
		Final (%NBS)nom:	0%		0%
2.2 Near Fault Scaling Factor		Near Fault scaling fac	tor, from NZS1170.5, cl 3	1.6:	
z ricus r dun oodinig r dotor		real radic souring last	along		across
	Near Fault s	scaling factor (1/N(T,D), Factor A:	#DIV/0!		#DIV/0!
2.3 Hazard Scaling Factor		Hazard factor 7 for e	ite from AS1170.5, Table	33.	
z.s nazaru scanny ractor		riazalu lactoi 2 loi s	Z <sub>1992</sub> , from NZS4203:1		
		Ha	zard scaling factor, Factor		#DIV/0!
0.4 Detum Desir d Continue France		Doubling			
2.4 Return Period Scaling Factor		Return Period Scaling fac	mportance level (from about otor from Table 3.1, Factor		
			along		across
2.5 Ductility Scaling Factor		uctility (less than max in Table 3.2)			
	Ductility scaling factor: =1 from 1976 onwards;				
		Ductiity Scaling Factor, Factor D:	0.00		0.00
2.6 Structural Performance Scaling	g Factor:	Sp:			
	Structural Perfo	ormance Scaling Factor Factor E:	#DIV/0!		#DIV/0!
2.7 Baseline %NBS, (NBS%) <sub>b</sub> = (%N	NBS)nom x A x B x C x D x E	%NBS <sub>b</sub> :	#DIV/0!		#DIV/0!
3.2. Vertical irregularity, Factor B: 3.3. Short columns, Factor C:	significant 0.7	Table for selection of D1	Severe	Significant	Insignificant
		Separation	0 <sep<.005h< td=""><td>.005<sep<.01h< td=""><td>Sep&gt;.01</td></sep<.01h<></td></sep<.005h<>	.005 <sep<.01h< td=""><td>Sep&gt;.01</td></sep<.01h<>	Sep>.01
3.4. Pounding potential	Pounding effect D1, from Table to right 1.0	Alignment of floors within 20% of H		0.8	1
H	Height Difference effect D2, from Table to right 1.0	Alignment of floors not within 20% of H	0.4	0.7	0.8
	Therefore, Factor D: 1	Table for Selection of D2	Severe	Significant	Insignificant
3.5. Site Characteristics	insignificant 1	Separation Height difference > 4 storeys	0 <sep<.005h 0.4</sep<.005h 	.005 <sep<.01h 0.7</sep<.01h 	Sep>.01
		Height difference 2 to 4 storeys		0.9	1
		Height difference < 2 storeys		1	1
			Along		Across
3.6. Other factors, Factor F	For ≤ 3 storeys, max value =2.5, other		2.0		2.0
	Ratio	onale for choice of F factor, if not 1			
Datail Critical Structural W	or (refer to DEE Dropadure postion 6)				ses
Detail Critical Structural Weaknesse List an	ss: (refer to DEE Procedure section 6) y: Refer also	section 6.3.1 of DEE for discussion of F factor r	modification for other critic	cal structural weakness	
	Refer also	section 6.3.1 of DEE for discussion of F factor r	modification for other critic	cal structural weaknes	1.40
List an	Refer also	section 6.3.1 of DEE for discussion of F factor		cal structural weaknes	
List an 3.7. Overall Performance Achieven	Refer also	section 6.3.1 of DEE for discussion of F factor r		cal structural weaknes:	
List an	y: Refer also		1.40	cal structural weakness	1.40

Note:1 for specifically design public buildings, to the code of the day: pre-1965 = 1.25; 1965-1976, Zone A =1.33; 1965-1976, Zone B = 1.2; all else 1.0

		Note 3: for buildings designed prior to 1935 use 0			
			along		across
		Final (%NBS)nom:	0%		0%
2.2 Near Fault Scaling Factor		Near Fault scaling fac	tor, from NZS1170.5, cl 3	1.6:	
z ricus r dun oodinig r dotor		real radic souring last	along		across
	Near Fault s	scaling factor (1/N(T,D), Factor A:	#DIV/0!		#DIV/0!
2.3 Hazard Scaling Factor		Hazard factor 7 for e	ite from AS1170.5, Table	33.	
z.s nazaru scanny ractor		riazalu lactoi 2 loi s	Z <sub>1992</sub> , from NZS4203:1		
		Ha	zard scaling factor, Factor		#DIV/0!
0.4 Detum Desir d Continue France		Doubling			
2.4 Return Period Scaling Factor		Return Period Scaling fac	mportance level (from about otor from Table 3.1, Factor		
			along		across
2.5 Ductility Scaling Factor		uctility (less than max in Table 3.2)			
	Ductility scaling factor: =1 from 1976 onwards;				
		Ductiity Scaling Factor, Factor D:	0.00		0.00
2.6 Structural Performance Scaling	g Factor:	Sp:			
	Structural Perfo	ormance Scaling Factor Factor E:	#DIV/0!		#DIV/0!
2.7 Baseline %NBS, (NBS%) <sub>b</sub> = (%N	NBS)nom x A x B x C x D x E	%NBS <sub>b</sub> :	#DIV/0!		#DIV/0!
3.2. Vertical irregularity, Factor B: 3.3. Short columns, Factor C:	significant 0.7	Table for selection of D1	Severe	Significant	Insignificant
		Separation	0 <sep<.005h< td=""><td>.005<sep<.01h< td=""><td>Sep&gt;.01</td></sep<.01h<></td></sep<.005h<>	.005 <sep<.01h< td=""><td>Sep&gt;.01</td></sep<.01h<>	Sep>.01
3.4. Pounding potential	Pounding effect D1, from Table to right 1.0	Alignment of floors within 20% of H		0.8	1
H	Height Difference effect D2, from Table to right 1.0	Alignment of floors not within 20% of H	0.4	0.7	0.8
	Therefore, Factor D: 1	Table for Selection of D2	Severe	Significant	Insignificant
3.5. Site Characteristics	insignificant 1	Separation Height difference > 4 storeys	0 <sep<.005h 0.4</sep<.005h 	.005 <sep<.01h 0.7</sep<.01h 	Sep>.01
		Height difference 2 to 4 storeys		0.9	1
		Height difference < 2 storeys		1	1
			Along		Across
3.6. Other factors, Factor F	For ≤ 3 storeys, max value =2.5, other		2.0		2.0
	Ratio	onale for choice of F factor, if not 1			
Datail Critical Structural W	or (refer to DEE Dropadure postion 6)				ses
Detail Critical Structural Weaknesse List an	ss: (refer to DEE Procedure section 6) y: Refer also	section 6.3.1 of DEE for discussion of F factor r	modification for other critic	cal structural weakness	
	Refer also	section 6.3.1 of DEE for discussion of F factor r	modification for other critic	cal structural weaknes	1.40
List an	Refer also	section 6.3.1 of DEE for discussion of F factor		cal structural weaknes	
List an 3.7. Overall Performance Achieven	Refer also	section 6.3.1 of DEE for discussion of F factor r		cal structural weaknes:	
List an	y: Refer also		1.40	cal structural weakness	1.40



### Aurecon New Zealand Limited Level 2, 518 Colombo Street Christchurch 8011

PO Box 1061 Christchurch 8140 New Zealand

T +64 3 375 0761

**F** +64 3 379 6955

E christchurch@aurecongroup.com

W aurecongroup.com

Aurecon offices are located in:
Angola, Australia, Botswana, China,
Ethiopia, Hong Kong, Indonesia,
Lesotho, Libya, Malawi, Mozambique,
Namibia, New Zealand, Nigeria,
Philippines, Singapore, South Africa,
Swaziland, Tanzania, Thailand, Uganda,
United Arab Emirates, Vietnam.